

Ernest Rutherford Fellowships 2021 Guidance

Closing date: 16 September 2021 at 16:00

This document contains guidance for applicants. The headings below indicate the topics covered in the document. Please click on the links below to be taken straight to that section:

Introduction Eligibility Host Institution Career Breaks Subject of Research Assessment Process Timetable Assessment Criteria How to Apply Ernest Rutherford Fellowship Funding Terms and Conditions Other Scheme Conditions and Features Contacts Annex 1 – Competency Framework

1. Introduction

STFC is offering up to 10 Ernest Rutherford Fellowships which provide **five years** of funding to outstanding researchers at an early stage of their career. The aim is to support future scientific leaders to establish a strong, independent research programme.

2. Eligibility

Ernest Rutherford Fellowships are intended for early career researchers who do not have a permanent academic position. You are not eligible if you currently hold a permanent academic position or the equivalent in institutions other than universities.

Applicants should hold a PhD at the time of applying for an Ernest Rutherford Fellowship.

If you secure a permanent position prior to the offer of a Fellowship you will be ineligible to hold the Fellowship.

Applicants should not be in receipt of duplicate funding for the same or similar proposal from more than one funding agency. Details of similar proposals that have been submitted to other funding

agencies must be added in the 'Other Support' section of the STFC application. Applicants must advise STFC if a successful funding decision is made by the other funding agency.

Applicants who have applied for the UK Research and Innovation Future Leaders Fellowships can also apply for an Ernest Rutherford Fellowship but cannot hold two fellowships which fund their working time simultaneously.

Applicants who have a live application for the UK Research and Innovation Stephen Hawking Fellowships **cannot** apply for an Ernest Rutherford Fellowship.

STFC no longer defines eligibility for Ernest Rutherford fellowships in terms of a minimum number of years of experience. Instead, applicants should read the competency profile in <u>Annex 1</u> to determine if you have the skills, knowledge and experience to apply for an Ernest Rutherford Fellowship.

Fellowships are open to applicants of any nationality. Where applicable, you will need to comply with Department of Employment requirements and hold a work permit prior to taking up the Fellowship. Work permits are a matter for direct negotiation between the institution, the Department of Employment and the Home Office.

Global Talent Visa - All successful applicants who require a visa to work in the UK will be eligible to be considered under the <u>Global Talent</u> visa route.

In line with the highly prestigious nature of the award, this visa route is designed for people who are internationally recognised as world leaders or potential world-leading talent in the fields of science and the arts and enables the holder to be both adaptable and flexible during their research in the UK.

The grant of any visa is always subject to the standard Home Office general grounds for refusal of a visa. UKRI is able to provide additional guidance regarding the appropriate evidence required to complete the visa application process under the Global Talent visa route.

Applications are welcome from candidates who intend to use the Fellowship as a means of reestablishing themselves in the United Kingdom following a period overseas.

Fellowships may be held at any eligible UK research organisation as set out in the STFC <u>Research Grants Handbook.</u>

3. Host Institution

You are advised to contact your proposed host department as early as possible and certainly well ahead of the deadline for submission of applications. Departments may have internal processes to select which candidates to support and the deadlines for these may be several weeks in advance of the STFC closing date. Departments should not expect you to accept an offer to be hosted before 19 August.

STFC sets a strict limit on the number of applications that each department may submit. Therefore, it may not be possible for a host to support all interested applicants. Any departments who exceed their limit will be required to withdraw the excess applications. **It is therefore very important that you seek assurance from your proposed host department that your**

application can be accommodated within its limit.

Two particular points to note are that:

- Fellowship applications associated with the Cockcroft Institute are made through the relevant partner institution but are counted against the Cockcroft Institute limit and should be flagged in their case for support;
- Fellowship applications associated with UKATC are counted against the University of Edinburgh School of Physics and Astronomy limit.

Career Breaks

Fellowships can be awarded to outstanding candidates returning from a career break or from working outside academic research.

Applications are welcome from those seeking to resume a research career, following a period of absence from active research of, normally, at least one year. The break may have been due, for example, to long term illness, injury or disability; family or caring responsibilities; parental leave; personal reasons; working in non-academic employment.

Applicants should make clear any substantive periods of absence from research within their application and narrative c.v. Further details on the nature of the absence and how it has affected track record, productivity and career progression may be provided if desired. Information provided will be used only to make appropriate adjustments when assessing an individual's track record, productivity and career progression. The Fellowship Panel will take into account time spent outside the active research. In assessing the effects of career breaks, the Panel will note the applicant's career trajectory and potential at the beginning of the break, relative to the stage of the applicant's career. In assessing applicants, the Panel will recognise that the effects on productivity of a career break, may continue beyond the return to work.

Examples of areas that may be affected are:

- presentation and publication record;
- patents filed;
- track record of securing funding, including time to obtain preliminary data;
- maintaining networks of research / innovation contacts and collaborations;
- time required for training;
- the ability to take up opportunities in different geographical locations;
- the ability to take up courses, sabbaticals, 'visits', placements and secondments.

5. Subject of Research

Applications must fall within the remit of the STFC core Science Programme (astronomy, solar and planetary science, particle physics, particle astrophysics, cosmology, nuclear physics, accelerator science) and afford scope for original work.

If you are unsure if your research falls under STFC's remit, please email <u>fellowships</u> ahead of the application process.

6. Assessment Process

Fellowship applications will be sent to independent reviewers for assessment. Reviewer comments on your application will be made available to you and you will have an opportunity to respond to any factual inaccuracies. You should address your response to the Fellowship Panel and not the reviewers. Your response should be in A4 format with a maximum of half a side per reviewer. If you are responding to three reviewers' comments the maximum response should be one and a half sides in total. As for all attachments, the response should be written as per <u>STFC Specific Requirements</u>. Please complete and submit your response by the due date stated – this is normally five working days after receipt of the invitation.

Your application, reviewers' comments and any response by you will be considered by the Fellowship Panel, operating as seven sub-panels: extragalactic, near universe, near universe solar/exoplanet, particle physics experiment and accelerator science, particle physics theory, particle astrophysics and cosmology, and nuclear physics. Your application will be ranked against the others in that area and the top-ranked candidates in each sub-panel area will be invited for interview. Apart from the reviewer comments, you will also receive short feedback from the panel. Host departments will be notified of the reviewer comments and feedback.

The highest ranked candidates from the interviews will be offered Ernest Rutherford Fellowships. If any of these candidates withdraw, Fellowships will be offered to the ranked list of reserve candidates.

7. Timetable

Closing date for Fellowship applications

Deadline 16:00 16 September 2021

Call activity	Indicative Timetable
Responses to independent reviewer comments	November/December 2021
Decision on who to invite to interview	Mid/late January 2022
Interview	14 - 17 February 2022
Awards announced	End February 2022
Fellowships start	1 June 2022 – 31 March 2023

Fellowships normally begin on 1 October of the year of award unless you indicate a different start date on your application. The earliest a Fellowship may start is 1 June. The offer of a Fellowship will not be held open for more than six months from the announced start date of the award. All Fellowships must be taken up by the following 31 March.

8. Assessment Criteria

In considering your Fellowship application, the Fellowship Panel will use the following criteria and each criterion will be weighted:

• the excellence of the research achievements of the applicant;

- the potential of the individual to lead their research discipline;
- the capability to maximise the potential of others and the ability to be, or become, a clear communicator and disseminator of knowledge;
- the excellence, timeliness, feasibility, distinctive vision and importance of the proposed research;
- Strategic value within the STFC programme.

The competency framework in Annex 1 gives an additional indication of how your application can provide evidence of the level of experience and abilities expected. It also informs you of where to record the evidence in your application.

If your application has been affected by an earlier career break or other extenuating circumstances, please indicate this so that it can be taken into account in the assessment of your application.

9. How to Apply

Applications for Ernest Rutherford Fellowships are submitted through the <u>Research Councils' Joint</u> <u>Electronic Submission (Je-S) system</u>. If you have not used the Je-S system to submit an application before, please ensure, well in advance of the closing date, that you have set up an account. Guidance for completion of the application is provided through the Je-S help text, available from the Je-S system front page, and context sensitive help throughout the system.

You are advised to contact your host organisation's Research Administration **as soon as possible** as departments may have their own <u>deadlines</u> for candidate selection which may be several weeks before the closing date. They will advise you about costing your proposal and internal procedures relating to submitting a research proposal through Je-S. Your Fellowship application must be costed and submitted by the host organisation.

Applicants may submit only one application to STFC for an Ernest Rutherford Fellowship per year.

All documents must be submitted as PDF attachments and must abide by the page limits (outlined below) and the restrictions on font size, font type and margins (described in <u>STFC</u> <u>Requirements</u>). These rules will be strictly enforced. STFC will reject a proposal if any of the documents submitted breaches the rules on page limits, font size, font type or margins. Proposals that are in breach of the application rules will not be returned for amendment. The only exception would be where a breach occurred because of issues in downloading the proposal into Research Council systems.

You must ensure your application is submitted to your host institution well before the deadline as some institutions have a submitter pool which may delay your application being submitted to council (STFC).

Together with your application proforma, you will need to include the following mandatory pdf attachments:

• Narrative Curriculum Vitae of a maximum of four sides of A4 using our template. Your narrative cv. must comply with the <u>STFC Requirements</u> and the format of the template must not be altered. See below for further guidance;

- List of publications and Research Outputs by year, which should not include presentations. List only those publications that have been submitted and not in progress;
- Letter of support (personal reference) from your nominated reviewer who must not be from the host institution. An international reviewer may be used. It should be no more than two sides of A4 in length and can be provided as either an email or on headed paper. The letter or email should be written when the proposal is being prepared and should be specific to you, the applicant: it must therefore be dated within 6 months of the date of submission of the proposal. The letter of support should highlight your research career and suitability for a fellowship. This must be uploaded at the submitter stage and will be confidential i.e. not visible to you. It is your responsibility to ensure that your nominated reviewer knows who to supply their reference to (at their host institution) in order that it can be uploaded and submitted with the proposal;
- **Case for Support for Fellowship**, of a maximum length of **four sides** of A4 including references, diagrams and illustrations. See below for further guidance. References must be in the same font size and font type as the text in the case for support;
- **Data Management Plan**, of a maximum length of **two sides** of A4. See below for further guidance.

No other documents will be considered and will be removed from the application.

9.1 Guidance for completing your Case for Support

Overview: This should be a clear and concise description including the vision, aims and context of your proposed research (maximum length of four sides of A4 including references, diagrams, timelines and illustrations. You should not duplicate material given in your narrative CV or publication list. You should focus on the assessment criteria and the competencies associated with these criteria.

The description of your proposed fellowship research should describe the context and aims, indicate the approach you intend to take and the timetable for your work, highlighting the excellence, timeliness, feasibility, distinctive vision and importance of your proposal. You should set your programme of research in the wider international context and explain why you are the right person to do the work.

A separate Pathways to Impact statement is no longer required, but applicants must still consider how they will or might achieve impact throughout their projects and include this as part of their Case for Support. Please see section <u>5.11.3 of the STFC Research Grants Handbook</u> for information on providing information on impact in your proposal.

Applicants should note that, whilst there are no set rules against including web links in the case for support, reviewers and panel members are under no obligation to follow them so they should not be used as a way to provide critical or additional information.

The criteria you should address and which you will be assessed against in your case for support are listed below. All other criteria or competencies that are not included in the list below should be addressed in your narrative CV.

Criterion 2. The potential of the individual to lead their research discipline.

Competencies:

• Have clear plans to establish your own research profile that will enable you to become an intellectual independent research leader and if applicable establish your own research team

Describe how the added value of an ERF award will enable you to establish your own research profile

Describe how an ERF will have a demonstrable impact on your career trajectory and broader professional/development opportunities enabling you to establish independence and leadership over the duration of the award;

• Demonstrate potential to lead research, for example by initiating collaborations with teams in other departments either nationally or internationally, Research Organisations/or other disciplines

Describe how you will demonstrate your potential to lead research in both a national and international context initiating collaborations through the award of an ERF;

• A credible plan for how research outcomes will be communicated and disseminated to achieve the maximum impact within the research community, building on previous experience and track record

Provide evidence that you can communicate clearly and through your plans have the potential to inspire and lead others

Describe how you would communicate and disseminate your research outcomes from the ERF within your research community referencing your previous track record.

Criterion 3. The capability to maximise the potential of others and the ability to be, or become, a clear communicator and disseminator of knowledge

Competency:

 A credible plan to communicate and disseminate the impact of your research outside of the community, across different audiences, building on previous experience and track record e.g. through collaboration with private, public or third sector bodies, publications for a non-academic audience, social media or public engagement activities Briefly outline any track record in public engagement or science communication and plans for future engagement.

Describe how you would communicate and disseminate your research outcomes outside your research community.

Criterion 4. The excellence, timeliness, feasibility, distinctive vision and importance of the proposed research

Competencies:

- Have a clear and distinctive strategic vision for your own research in the context of the broad research area within which your work, including internationally; Explain the excellence and vision of the proposed project to warrant consideration for funding.
- Be able to describe how your research plans fit in to an international context Describe your research in a wider national and international context
- The planned programme of research shows potential to significantly advance the field with the appropriate balance of risk versus reward.

Detail the methodology to be used in pursuit of your research to advance the field and justify this choice.

Highlight plans that are particularly distinctive and important. Explain how new techniques or particular difficult or risky studies will be tackled and outline alternative approaches should these fail.

A project that is feasible within the period of the fellowship demonstrating a rigorous approach to reach achievable goals.
 Detail the progress of the research. E.g. provide milestones and the timetable for the work against which the outputs, outcomes and impacts of the work will be assessed.
 Provide evidence of feasibility and timeliness of the proposed programme of research.

Criterion 5. Strategic value within the STFC programme

Competency:

• Show the importance and alignment of the project to the STFC programme. The <u>STFC frontier challenge</u> questions will give more information.

9.2 Data Management Plan

If your proposal is for a project that would result in the production or collection of scientific data, then a Data Management Plan (DMP) should be added as an attachment. The data management plan should explain how the data will be managed over the lifetime of the project and, where appropriate, preserved for future re-use. This is a mandatory requirement; therefore, if a DMP is not relevant to your proposal then an attachment explaining this should be uploaded to pass the Je-S validation requirement. Further information on completing your DMP can be found on the following web page Data management plan - Science and Technology Facilities Council

9.3 Narrative CV

You must submit the mandatory narrative template. No other cv will be considered.

No section or text in bold in the narrative cv should be deleted; however, all text attaining to the competencies that is in italic should be deleted as they are a reminder of what you need to include under each section.

The information you provide should be evidenced based and address each of the criteria 1, 2 and 3.

In section 4 Additional Information, you should include any periods of part-time working, maternity, paternity or adoption leave, parental leave, disability, ill-health, childcare or other caring responsibilities. Please be aware that any information provided will be shared with reviewers and panel members.

9.4 Checklist of Documents

Please ensure that the following documents are included with your application adhering to the regulation on page limits (repeated below and on font size, font type and margins as stated in Section 9:

• Narrative CV (using mandatory template, maximum of four sides of A4)

- List of publications and Research Outputs
- Letter of support (maximum of two sides of A4)
- Case for support (maximum of four sides of A4)
- Data Management Plan (maximum of two sides of A4)

10. Ernest Rutherford Fellowship Funding

Fellowship applications are costed on the basis of full economic costs (fEC). If a Fellowship is awarded, STFC will provide funding at 80% of the fEC requested. The host institution must agree to find the balance of fEC for the proposal from other resources. Universities and other higher education organisations use the Transparent Approach to Costing (TRAC) methodology to calculate fEC. Please refer to the <u>Research Grants Handbook</u> for further information. For non-university organisations, Research Councils require a validation process to ensure that their costing methodologies are robust. Standard default rates should be used where Research organisations have not developed their own rates. Please refer to the <u>Research Grants</u> <u>Handbook</u> for further information.

10.1 Directly Incurred Costs

Costs that are explicitly identifiable as arising from the conduct of a project are charged as the cash value actually spent and are supported by an auditable record. Ernest Rutherford Fellowships provide funds to cover your salary, the costs of personal travel and some minor equipment costs. These should be requested under the Directly Incurred Costs heading. Applicants who are returning from a career break may also apply for funds for retraining and updating their skills where this can be justified in the context of the proposed research project.

10.2 Salary

You are advised to discuss and agree your starting salary with your proposed host institution, before your application is submitted. The agreed salary should be in accordance with the institution's standard recruitment and employment practices. The appointment level on the institution's salary scale should be justified in the application. The salary costs requested should include employer's national insurance and superannuation contributions. Salary increments over the period of the Fellowship should be taken into account, but not anticipated future pay awards.

STFC will award funds on the basis of the agreed salary scales at the time of announcement, with provision for future years increase on the basis of standard UKRI indexation rates. Once announced the grant will not normally be increased to take account of different indexation rates.

10.3 Travel

Personal travel is taken to include necessary collaborative visits and fieldwork, and attendance at one conference workshop or symposium during each year of the Fellowship but excluding fieldwork and visits which form part of the work of a research group with which you may be associated.

If you are associated with an STFC research grant you must ensure that any travel connected with the research project for which the grant was given is claimed from that source.

You should estimate in your application all personal travel and subsistence funds required during the lifetime of the Fellowship. Travel and subsistence costs are expected to be around £2k per annum. If there are exceptional circumstances for applying for additional funding in excess of the guidance levels justification will need to be made in your Case for Support.

10.4 Other Costs

Applicants may request minor equipment and consumables up to a total of £5k for the duration of the fellowship under Directly Incurred Other costs. At the end of the Fellowship any resources purchased will belong to the Institution. If there are exceptional reasons for applying for more than the guideline level then justification of these costs should be included in your Case for Support.

STFC do not fund mentoring time and this cost should not be included in the funding costs.

Laptops may be costed where a new member of staff (e.g. a fellow) who is employed purely for the grant will require this, or where a higher specification is required for the completion of specific grant related activities such as data modelling, enhanced graphics etc. These costs should be applied for under Directly Incurred "Other Costs"

10.5 Relocation and Visas

Applicants moving to the UK from overseas to take up an award may request relocation and visa costs. These costs should be applied for under the Directly Incurred "Other Costs" heading on the proforma and are in addition to the £5k under Other Costs. STFC will award a maximum of £1.2k if moving from Europe or £3k if moving from outside of Europe. NHS surcharge payment is not an eligible cost as part of a visa application.

10.6 Publication Costs

STFC will no longer provide funding in research grants for any publication costs associated with peer-reviewed journal articles and conference papers. UKRI provides direct funding to research organisations for this purpose. Publication costs associated with research outputs other than journal articles and conference papers, such as books, monographs, critical editions, catalogues etc. may, however, continue to be included in grants as a Directly Incurred Other Cost. Any request for such costs will of course need to be fully justified in the case for support.

10.7 Directly Allocated Costs

Estates costs include building and premises costs, basic services and utilities and appear under the Directly Allocated Costs heading. Estates costs are calculated by the research organisation and a single figure will appear on the application.

10.8 Indirect Costs

Indirect costs include the costs of administration, such as personnel, finance, library and some departmental services. Like estate costs, indirect costs will be calculated by the research organisation and a single figure will be entered on the application.

11. Terms and Conditions

STFC Fellowships are governed by the grant conditions as set out in the <u>Research Grants</u> <u>Handbook</u> unless otherwise stated. Applications are accepted and awards are made on the understanding that Research Organisations and Fellows agree to observe the terms and conditions and the scheme requirements set out in this document and any amendments issued during the currency of the award. STFC intends its scheme to be flexible and reserves the right to deal as it thinks fit with applications of unusual character and to waive any rule at its absolute discretion.

Applicants should refer to the <u>UK Research and Innovation fEC Grant Standard Terms and</u> <u>Conditions of Grant</u> and the <u>UK Research and Innovation fEC Grants Standard Terms and</u> <u>Conditions of Grant Guidance</u> for further information.

Research Organisations must appoint Research Fellow employees for the full duration of the award and integrate the Research Fellow within the research activities of the host department, whilst ensuring that he or she is able to maintain independence and focus on their personal research programme. Awards are made on the understanding that the Fellow's work and progress are subject to the same monitoring and appraisal procedures as those of other academic staff within the host institution, and that there are adequate facilities at the host institution for the research proposed.

12. Other Scheme Conditions and Features

12.1 Part Time Working

Fellowships can be held either on a full-time or may be sought on a part-time basis by applicants wishing to combine their Fellowship with caring responsibilities. A part-time award can be held at 50% or above of full-time equivalent. A part-time Fellow may not hold another part-time position in conjunction with the Fellowship. The period of award for Fellowships held on a part-time basis will be extended on a pro rata basis.

12.2 Extensions

After a fellowship grant has started, the duration may be extended to cover: maternity leave, paternity leave, adoption leave, shared parental leave, paid sick leave, extended jury service, or changes from full-time to part-time working for a Research Fellow in line with the terms and conditions of the fellow's employment. Otherwise, the conditions for extending Fellowship grants are the same as apply to research grants.

Any request for an extension should be made via the Grant Maintenance facility in Je-S as soon as the required duration is known. All requests for extensions must be made before the grant ends. Making requests for extensions near the end of the grant, especially where this would move payments to a different financial year, is not encouraged as this increases the risk that we will be unable to accommodate the request within budget restrictions.

12.3 Maternity, Paternity, Adoption and Parental leave

Fellows are entitled to take parental leave in accordance with the terms and conditions of their

employment. We will consider requests for a Fellowship Grant to be placed in abeyance during the absence of the Research Fellow for parental leave, and the period of the Fellowship extended by the period of leave. We will also consider requests to continue the Fellowship on a flexible or part-time basis to allow the Research Fellow to meet caring responsibilities. More information can be found under <u>RGC 8.3</u> in the UKRI terms and conditions.

12.4 Contribution to child-care costs associated with conference attendance or collaborative visits

STFC will consider funding childcare expenses for ER Fellows, in the event that they incur substantial additional childcare costs as a result of an activity directly associated with their fellowship. The funds may be used to cover, or partially cover, childcare costs that are additional to those that would be incurred anyway, without the conference attendance or collaborative visit. This could include the cost of additional care at home or the cost of items such as flights and accommodation for the child(ren) and a carer if necessary. The maximum value of a claim is £500. Up to three claims may be made during the Fellowship. Payment will be made on receipt of a claim following the conference attendance or collaborative visit. Further details and claim forms will be available to successful applicants or available from fellowships@stfc.ukri.org

12.5 Sick Leave

Fellows are entitled to take sick leave in accordance with the research organisation's terms and conditions. If requested, consideration will be given to allowing a fellowship grant to be placed in abeyance during the absence of the Research Fellow due to sick leave, and the period of the fellowship extended by the period of sick leave. The additional salary costs for the fellow (pro rata to their percentage FTE on the fellowship) should be claimed, as necessary, at the end of the extended period. More information on sick leave can be found under <u>RGC 8.4</u> in the UKRI terms and conditions.

12.6 Break in Service

You will be allowed a break in service not more than twice during the period of the award, for periods amounting together to not more than two years, to enable time to be spent on another related activity not supported by STFC.

Breaks in service will not normally be permitted during the first or final years of the Fellowship, unless this is on maternity or sick leave, and must be endorsed by your Head of Department. Where STFC agrees to a break in service, the duration of the Fellowship will not be extended and payments to the institution will cease for the period of the break (i.e. a Fellow taking a maximum break in service of two years would receive only three years of Fellowship funding).

12.7 Movement between Institutions

Applicants must take up the award at the host institution identified in the application. Consideration will be given to requests during the course of an award to relocate the Fellowship to a different institution for scientific or domestic reasons.

STFC have adapted a more flexible approach to a fellow transferring their fellowship from their original host institution. If a fellow is offered a permanent position at a different institution, then provided the job was advertised and the closing date and interviews were held after the fellow had

accepted the fellowship offer, then the fellow would be allowed to transfer their fellowship. The new institution would need to confirm that the teaching requirement was within the permitted limit (see section 12.8) and the fellow would have the necessary support. Clarification on the impact of their fellowship outcomes would also be required. The transfer would still be subject to the agreement of the two institutions involved and approval by the chair of Education, Training and Careers Committee.

Guidance on making a request to transfer a fellowship can be found on the UKRI website.

Any computer 'consumables' that have been purchased on a fellow's original fellowship grant should remain the property of the fellow whilst they are carrying out their research and therefore should be transferred with the fellow.

12.8 Teaching

Fellows may undertake up to a maximum of six hours teaching, including preparation, each working week (total 260 hours per annum, pro rata for part-time awards) if the Head of Department considers it desirable and provided it does not hinder progress on research work. Apart from this, managing research and normal holidays, Fellows must devote themselves to research and no other work may be undertaken within usual working hours, although exceptions are made for time spent on public engagement and other STFC-approved business.

12.9 STFC Summer Schools

STFC supports short courses and summer schools held in a core research activity supported by the STFC studentships programme (astronomy, solar system science, particle astrophysics, particle physics, nuclear physics, accelerator science) which are primarily aimed at STFC-funded PhD students. Ernest Rutherford Fellows will normally be expected to be available to participate as lecturers or tutors in summer schools.

12.10 Progress Reports

Your Head of Department is required to submit a report confirming your progress during the first half of your award.

12.11 Reporting and Post-Fellowship Destinations

STFC has the responsibility to demonstrate the value and impact of research supported through public funding. STFC Fellows are required to provide an annual update of information relating to the outputs, outcomes and impacts (referred to as research outcomes) that arise from their STFC funded research. Researchfish is an online system which is used to collect this information. You would be required to have a Researchfish account and to use the Researchfish system to provide these updates annually during the period of your award and usually for five years after your award has finished. Sanctions will apply to fellows who do not make a submission each year during the data submission period. More information can be found on the UKRI Research Outcomes webpage.

STFC requires Fellows to complete a short questionnaire giving details of the post taken up on completion of the Fellowship.

12.12 Career Path Tracking

STFC is keen to track the careers of its former Fellows and may undertake detailed studies of the career paths followed by fellows several years after their fellowship has been completed. Such studies inform policy decisions affecting STFC's fellowship programme.

Institutions are encouraged to register their fellowship data with the Information Commissioner in a way that will allow it to be released for career path tracking exercises, as this will provide additional help to STFC in tracing former fellows.

12.13 Public Engagement

Applicants who wish to undertake public engagement during their Fellowship should outline their plans for delivering and evaluating their engagement in their proposal. Necessary costs for such activities should be requested and justified as normal. Fellowship holders must report the outcomes of their engagement using ResearchFish and the final report required by the conditions of the award.

12.14 Mentoring

Departments must provide mentoring opportunities for Fellows. This should include contact with a named individual who can draw on their experience to help you in your professional or personal development. They should also help you rapidly access resources at your Research Organisation through knowledge of appropriate processes and systems.

13. Contacts

The Fellowship Team are working from home during COVID-19. For all enquiries, please email them to ensure a quick response.

Fellowships enquiries: Email: <u>Fellowships team</u>

June 2021

Annex 1

Competency framework

The table provides an indication of the skills, knowledge and experience that a researcher might demonstrate to support their application for a fellowship award. It is not an exhaustive list, nor is there an expectation that all applicants will fulfil all of the criteria; they need to have met the criteria at a sufficient breadth and depth to demonstrate their fit to this stage and provide evidence to support that in their proposal.

The competencies have been highlighted to assist in where the evidence should be added.

CV and Case for Support				
Criterion	Competencies	Where in CV		
 The excellence of the research achievements of the applicant 	a) Have a track record of ambitious, innovative and novel research in their area which demonstrates an upward trajectory.	1		
	 b) Substantial contributions to high quality outputs appropriate to their field e.g. publications, software, hardware infrastructure, technical reports or 	1		
	 instrumentation. c) Received external recognition of research excellence with high profile invitations to seminar/conferences to present their research d) Received recognition through 	1		
	prizes and awards			
2) The potential of the individual to lead their research discipline	a) Have clear plans to establish their own research profile that will enable them to become an intellectual independent research leader and if applicable establish their own research team	2		
	b) Demonstrate potential to lead research, for example by initiating collaborations with teams in other departments either nationally or internationally, Research Organisations/or other disciplines	2		
	c) Evidence of independence and initiative in obtaining funding	2		
	d) Beginning to demonstrate evidence of recognition and	2		

Case for Support CV and Case for Suppor

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	 prominent leadership positions in the community on an international scale through mechanisms appropriate to their discipline e) Show an understanding and clear plans of how to influence their research field and awareness of ways to influence the wider research agenda. For example, through experience of participation in peer review, participation in internal committees, acting as an ambassador or advocate for a research field or theme, or influencing policy, or organisation roles in research workshops. f) A credible plan for how research outcomes will be communicated and disseminated to achieve the maximum impact within the research community, building on previous experience and track record 	2
3) The capability to maximise the potential of others and the ability to be, or become, a clear communicator and disseminator of knowledge	 a) Have identified opportunities to access career development support from the host organisation or outside organisations e.g. mentoring and professional training development and relevant training courses that would underpin their future career ambitions. b) Show an ability to identify and maximise potential in others. For example, through the day to day support and development of graduate and undergraduate students or early career researchers, providing career support or by actively networking or coordinating the work of others. c) Evidence of engagement that is integral to their own research community, for example, running a journal club, hackathons. Have effective communication and interpersonal skills across the wider research 	3 3 3

	 community, for example presentations at conferences, workshops d) A credible plan to communicate and disseminate the impact of the research outside of the community, across different audiences, building on previous experience and track record e.g. through collaboration with private, public or third sector bodies, publications for a non-academic audience, social media or public engagement activities 	3
4) The excellence, timeliness, feasibility, distinctive vision and importance of the proposed research;	 a) Have a clear and distinctive strategic vision for their own research in the context of the broad research area within which they work, including internationally; b) Be able to describe how their research plans fit in to an international context c) The planned programme of research shows potential to significantly advance the field with the appropriate balance of risk versus reward d) A project that is feasible within the period of the fellowship demonstrating a rigorous approach to reach achievable goals. 	This criterion will be addressed in the case for support
5) Strategic value within the STFC programme	a) Show the importance and alignment of the project to the STFC programme	This criterion will be addressed in the case for support